

|  |  |   |
|--|--|---|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |  | <b>ATTORNEY'S DOCKET NUMBER-</b><br>3190-077                                |
|  |  | <b>U.S. APPLICATION NO. (if known, see 37 CFR 1.5)</b><br>Unknown 107528509 |
| <b>INTERNATIONAL APPLICATION NO.</b><br>PCT/JP2003/011895  | <b>INTERNATIONAL FILING DATE</b><br>September 18, 2003 | <b>PRIORITY DATE CLAIMED</b><br>September 19, 2002                          |
| <b>TITLE OF INVENTION: IMMUNOTHERAPEUTIC AGENT FOR CANCER</b>  |  |   |
| <b>APPLICANT(S) FOR DO/EO/US: Akikuni YAGITA</b>   |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |
| <ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</li><li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto.</li><li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ol></li><li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 34 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))</li><li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol> |  |   |
| <b>Items 11 to 20 below concern document(s) or information included:</b>   |  |   |
| <ol style="list-style-type: none"><li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li><li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76</li><li>15. <input type="checkbox"/> A substitute specification.</li><li>16. <input type="checkbox"/> A power of attorney and/or address change letter.</li><li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.</li><li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li><li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li><li>20. <input checked="" type="checkbox"/> Other items or information:<br/>PCT/IB/304    PCT/IB/308<br/>PCT/IB/332    PCT/IPEA/416</li></ol>   |  |   |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |  |  |  |                                      |  |
|--|--|--|--|--------------------------------------|--|
| U.S. APPLICATION NO. <b>10/528509</b><br>Unknown |  | INTERNATIONAL APPLICATION NO.<br>PCT/JP2003/011895 |  | ATTORNEY'S DOCKET NUMBER<br>3190-077 |  |
|--|--|--|--|--------------------------------------|--|

|   |              |   |             |                       |    |
|---|--------------|---|-------------|-----------------------|----|
| 21. <input checked="" type="checkbox"/> The following fees are submitted:   |              |   |             |                       |    |
| <input checked="" type="checkbox"/> a) Basic national fee .....   | \$300.00     | \$ 300.00   |             |                       |    |
| <input checked="" type="checkbox"/> b) Examination fee .....  | \$200.00     | \$ 200.00   |             |                       |    |
| <input checked="" type="checkbox"/> c) Search fee .....   | \$500.00     | \$ 500.00   |             |                       |    |
| TOTAL OF ABOVE CALCULATIONS =   |              | \$ 1,000.00   | \$ 1,000.00 |                       |    |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof. |              |   |             |                       |    |
| Total Sheets  | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) |             |                       |    |
| 67 - 100 =  | /50 =        | 0   | x \$250.00  | \$ 0.00               |    |
| Surcharge of \$130.00 for furnishing the oath or declaration later than Months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |   |             | \$ 0.00               |    |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA  | RATE        |                       |    |
| Total claims  | 20 - 20 =    | 0   | x \$50.00   | \$ 0.00               |    |
| Independent claims  | 1 - 3 =      | 0   | x \$200.00  | \$ 0.00               |    |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |   | + \$360.00  | \$ 0.00               |    |
| TOTAL OF ABOVE CALCULATIONS =   |              |   |             | \$ 1,000.00           |    |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |   |             | \$ 500.00             |    |
| SUBTOTAL =  |              |   |             | \$ 500.00             |    |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |   |             | \$ 0.00               |    |
| TOTAL NATIONAL FEE =  |              |   |             | \$ 500.00             |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |   |             | + \$ 40.00            |    |
| TOTAL FEES ENCLOSED =   |              |   |             | \$ 540.00             |    |
|   |              |   |             | Amount to be Refunded | \$ |
|   |              |   |             | Amount to be Charged  | \$ |

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0925.

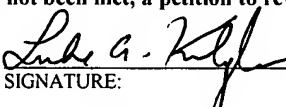
d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

KILYK & BOWERSOX, P.L.L.C.  
53 A East Lee Street  
Warrenton, VA 20186

Phone (540) 428-1701 - Facsimile (540) 428-1720

  
 SIGNATURE:  
 Luke A. Kilyk  
 NAME

33,251  
REGISTRATION NUMBER